

# § 1 Theoretical and constitutional backgrounds of executive-legislative relations

## I. The distinction between parliamentary, presidential and semi-presidential (hybrid) governing systems

- a common distinction in political science and comparative constitutional law doctrine
  - the classical examples: U.S.A., France, Great Britain
  - the risk of drawing too strong conclusions of this distinction
- usually, in a presidential system the president is both, the head of state and the head of government, while in a parliamentary system the head of government is a prime minister elected by the parliament and the government depends on the parliament
- usually, in a *presidential system* there is a *stricter separation of powers* with a greater independence from each other while in a parliamentary system the powers are more closely interlinked and interlocked
  - if the majority in the parliament does not support the president this can lead to numerous constitutional disputes (example: U.S.A. under President Donald Trump)
- in a semi-presidential system, there may be a separate head of government and usually the executive-legislative relations are more complicated
  - example: France, where some important powers are concentrated with the Prime Minister, not with the President

## II. The design of the inter-institutional relations by the constitution

- with primacy above any other law or regulation
- institutional practice deviating from the constitutional rules cannot alter them but is illegal
- usually, only the details may be concretised by ordinary law or an organic law
  - any such law must comply with the wording and the spirit of the relevant constitutional provisions and the fundamental values and ideas of the constitution

## III. The fundamental principle of separation of powers in free and democratic constitutional states based on the rule of law

### 1) Philosophical and historical foundations

- THEORY OF MIXED CONSTITUTIONS in ancient Greece and Rome
  - ARISTOTELES (Politeia, 4<sup>th</sup> century b.c.), PLATON, POLYBIOS
- JOHN LOCKE (Two Treaties of Government, 1690)
- CHARLES DE MONTESQUIEU (De l'Esprit des Lois, 1758)
- early implementation in North America (since 1776) and France (since 1791)
- Art. 16 of the French Déclaration des droits de l'homme et du citoyen (1789):  
"Any society in which no provision is made for guaranteeing rights or for the separation of powers, has no Constitution."

### 2) Definition, objectives and key requirements of the separation of powers

- definition: division of state activity into three blocks (legislature, executive, judiciary) and allocation to different institutions or groups of institutions
- objectives: securing freedom and *moderating state power by separation and interlocking of powers*; rational and functional organisation of state power
- key requirements:
  - functional, organisational and (partly) personal separation of powers
  - guarantee of a balance of powers
  - absolute guarantee of the *core area* of each power

### **3) Ways of anchoring of the separation of powers in the constitution**

- Realisation of the separation of powers by an appropriate arrangement of the state institutions in the constitution
- a fundamental constitutional principle (or sub-principle) of separation of powers  
- example: art. 20(2) phrase 2 German Basic Law

### **4) The impact of the principle of separation of powers on the understanding of the constitutional provisions on the executive-legislative relations**

- all provisions of a free and democratic constitution based on the fundamental idea of separation of powers must be interpreted and applied in line with this concept
- this even guides and limits the legislator when passing organic laws provided for in the constitution

### **5) The problem of checks and balances in constitutional systems not based on the concept of separation of powers**

- the example of the Socialist Republic of Vietnam and its concept of democratic centralism

## **IV. The uniqueness of each constitutional institutional system and its consequences for the understanding of the executive-legislative relations**

- each institutional system must be seen in the *context of the whole constitution*, in particular of its fundamental values and ideas, and of the history and constitutional history of the state
- therefore, unlike in other fields of constitutional law, in this context, solutions and doctrine from other constitutional states usually cannot be transferred directly but only serve as a source of general inspiration and orientation
- solutions and ideas from countries with a different type government system must be analysed carefully even before being taken as a source of inspiration or orientation

## **V. Who is the final arbiter? The prerogative of the constitutional court to settle disputes between the state institutions and, thus, to develop the institutional law**

- in particular in the way of *further developing of law*, guided by inspiration drawn from comparison of laws
- this requires a series of well-founded, elaborated judgements forming a sophisticated, coherent jurisprudence
- the need to guarantee and enforce effectively the independence and authority of the constitutional court in order to ensure the separation of powers
- the important *role of the scholars* of constitutional law to monitor, compile, systematise, analyse and critically discuss the constitutional jurisprudence and to support its development in a broad scientific discourse